

A SMALL OPEN-CLOSED HOMOTOPY ALGEBRA (OCHA)

TORNIKE KADEISHVILI AND TOM LADA

ABSTRACT. We consider a particular finite dimensional example of an L_∞ algebra in which a 2-dimensional Lie algebra acts on a 1-dimensional vector space in a non-trivial non-Lie manner. In order to understand the nature of this action, we show that this algebra is in fact an example of an open-closed homotopy algebra.

1. INTRODUCTION

In [1], a non-trivial L_∞ algebra structure on a finite dimensional 2-graded vector space which was discovered by M. Daily was discussed in detail. The structure of that algebra entailed a 2-dimensional Lie algebra V_0 acting on a 1-dimensional vector space V_1 . The nature of this action is the topic of this article. A possible structure for this action is that of V_1 being an L_∞ module over the Lie algebra V_0 . Such an action requires a collection of operations $\eta_k : V_0^{\otimes(k-1)} \otimes V_1 \rightarrow V_1$ subject to compatibility relations; see [4] for details.

Two other candidates for understanding the action of V_0 on V_1 are that of an A_∞ algebra over an L_∞ algebra and that of an open-closed homotopy algebra as developed by Kajiwara and Stasheff [3]. These structures are given by operations $\eta_{p,q} : V_0^{\otimes p} \otimes V_1^{\otimes q} \rightarrow V_1$ subject to compatibility relations, where $p \geq 0, q \geq 1$ for an A_∞ algebra over an L_∞ algebra, and $p \geq 0, q \geq 0$ for an open-closed homotopy algebra. These actions may also be described by a coderivation D on the coalgebra $S^c(\downarrow V_0) \otimes T^c(\downarrow V_1)$ with $D^2 = 0$ [3],[2]. Here, $S^c(V_0)$ is the cocommutative coalgebra on V_0 , $T^c(V_1)$ is the tensor coalgebra on V_1 , and \downarrow is the desuspension isomorphism of graded vector spaces.

Our main result will show that the L_∞ algebra mentioned above is in fact an example of an open-closed homotopy algebra. The other two types of action are not possible because of the presence of a non zero operation $\eta_{1,0}$.

We will review the definition of L_∞ algebras in Section 2 and provide explicit details of the example. In Section 3, we will recall the definition of an open-closed homotopy algebra and verify that the example satisfies the relations.

2. AN L_∞ ALGEBRA

We begin by recalling the definition of an L_∞ algebra [4].

Definition 1. *An L_∞ algebra structure on a graded vector space V is a collection of skew symmetric linear maps $l_n : V^{\otimes n} \rightarrow V$ of degree $2 - n$ that satisfy the relations*

$$\sum_{i+j=n+1} \sum_{\sigma} (-1)^\sigma (-1)^{e(\sigma)} (-1)^{i(j-1)} l_j(l_i(v_{\sigma(1)}, \dots, v_{\sigma(i)}), v_{\sigma(i+1)}, \dots, v_{\sigma(n)}) = 0$$

where $(-1)^\sigma$ is the sign of the permutation, $e(\sigma)$ is the product of the degrees of the permuted elements, and σ is taken over all $(i, n - i)$ unshuffles.

This is the cochain complex point of view; for chain complexes, require the maps l_n to have degree $n - 2$.

Now consider the graded vector space $V = V_0 \oplus V_1$ where V_0 has basis $\langle v_1, v_2 \rangle$ and V_1 has basis $\langle w \rangle$. Then V may be given an L_∞ algebra structure by defining [1]

$$l_1(v_1) = l_1(v_2) = w$$

$$l_2(v_1 \otimes v_2) = v_1, l_2(v_1 \otimes w) = w$$

$$l_n(v_2 \otimes w^{\otimes n-1}) = C_n w = (-1)^{\frac{(n-2)(n-3)}{2}} (n-3)! w, n \geq 3.$$

In other words, (V, l_1) is a cochain complex and the maps l_n have degree $2 - n$, are extended to all of $V^{\otimes n}$ by graded skew symmetry, and are defined to be equal to 0 on any elements not mentioned above. Also note that (V_0, l_2) is a two dimensional Lie algebra.

There is an equivalent description of L_∞ algebras given by a degree 1 coderivation D on the on the coalgebra $S^c(\downarrow V)$ with $D^2 = 0$ [4], [5]. We will translate the L_∞ algebra data above into this context in order to be compatible with the OCHA data in the next section.

We may apply the desuspension operator, \downarrow , to the data above to obtain a collection of degree one graded symmetric linear maps $\hat{l}_n : W^{\otimes n} \rightarrow W$ given by $\hat{l}_n = (-1)^{\frac{n(n-1)}{2}} \downarrow \circ l_n \circ \uparrow^{\otimes n}$ [4]. Here, $W = W_{-1} \oplus W_0$ with W_{-1} isomorphic to V_0 and W_0 isomorphic to V_1 . Let x_i correspond to v_i and y correspond to w under these isomorphisms. We may then describe the \hat{l}_n 's explicitly by

$$\hat{l}_1(x_1) = \hat{l}_1(x_2) = y$$

$$\hat{l}_2(x_1 \otimes x_2) = x_1, \hat{l}_2(x_1 \otimes y) = y$$

$$\hat{l}_n(x_2 \otimes y^{n-1}) = C'_n y = (-1)^n (n-3)! y$$

The signs in the above equation result from the definition of \hat{l}_n in terms of l_n , the definition of l_n in this particular example, and from applying the map $\uparrow^{\otimes n}$ to the element $x_2 \otimes y^{n-1}$ using the fact that the degree of x_2 is -1 and the degree of y is 0 .

For example,

$$\hat{l}_n(x_2, y, \dots, y) = (-1)^{\frac{n(n-1)}{2}} \downarrow \circ l_n \circ \uparrow^{\otimes n} (x_2, y, \dots, y)$$

which, after applying the map $\uparrow^{\otimes n}$ and noting that the degree of y is 0 and the degree of x_2 is -1 , and that $\uparrow x_2 = v_2$ and $\uparrow y = w$,

$$= (-1)^{\frac{n(n-1)}{2}} (-1)^{n-1} \downarrow \circ l_n(v_2, w, \dots, w)$$

$$= (-1)^{\frac{n(n-1)}{2}} (-1)^{n-1} (-1)^{\frac{(n-2)(n-3)}{2}} (n-3)! \downarrow w = (-1)^n (n-3)! y.$$

The last equality results from the fact that $\frac{n(n-1)}{2} + (n-1) + \frac{(n-2)(n-3)}{2}$ has the same parity as n .

These maps \hat{l}_n have degree $+1$ and may be extended to coderivations on $S^c(W)$ and added together to give the differential D on the cocommutative coalgebra $S^c(W)$.

3. AN OPEN-CLOSED HOMOTOPY ALGEBRA

We next recall the definition of an open-closed homotopy algebra (OCHA) as introduced by Kajiuura and Stasheff [3].

Definition 2. *An open-closed homotopy algebra $(\mathcal{H} = \mathcal{H}_c \oplus \mathcal{H}_o, l, \eta)$ consists of an L_∞ algebra (\mathcal{H}_c, l) and a family of degree +1 maps $\{\eta_{p,q} : \mathcal{H}_c^{\otimes p} \otimes \mathcal{H}_o^{\otimes q} \rightarrow \mathcal{H}_o\}$ for $p, q \geq 0$ such that*

$$0 = \sum_{\sigma} (-1)^{\epsilon(\sigma)} \eta_{1+r,m}(l_p(c_{\sigma(1)}, \dots, c_{\sigma(p)}), c_{\sigma(p+1)}, \dots, c_{\sigma(n)}; o_1, \dots, o_m) \\ + \sum_{\sigma} (-1)^{\mu_{p,i}(\sigma)} \eta_{p,i+1+j}(c_{\sigma(1)}, \dots, c_{\sigma(p)}; o_1, \dots, o_i, \eta_{r,s}(c_{\sigma(p+1)}, \dots, c_{\sigma(n)}; o_{i+1}, \dots, o_{i+s}), o_{i+s+1}, \dots, o_m)$$

where the second sum is taken over $i + s + j = m$, σ ranges over all $(p, n - r)$ unshuffles, and $n, m \geq 0$.

The sign exponent is given by

$$\mu_{p,i}(\sigma) = \epsilon(\sigma) + (c_{\sigma(1)} + \dots + c_{\sigma(p)}) + (o_1 + \dots + o_i) + (o_1 + \dots + o_i)(c_{\sigma(p+1)} + \dots + c_{\sigma(n)})$$

where we indicate the degree of an element by its name, and $\epsilon(\sigma)$ is the product of the degrees of the permuted elements.

We will show that the following example of a “small” L_∞ algebra has the structure of an OCHA.

Theorem 3. *The graded vector space $W = W_{-1} \oplus W_0$ together with the maps $\{\hat{l}_n\}$ has the structure of an open-closed homotopy algebra.*

Proof. We let $\mathcal{H}_c = W_{-1}$ and $\mathcal{H}_o = W_0$ and define $\eta_{p,q} = \frac{1}{q!} \hat{l}_{p+q}$. Recall that W_{-1} together with \hat{l}_2 restricted to $W_{-1} \otimes W_{-1}$ is a Lie algebra, so the requirement that \mathcal{H}_c be an L_∞ algebra is satisfied. We next show that the maps $\eta_{p,q}$ satisfy the relations in the definition by evaluating those terms on all possible inputs from W . We first observe that by the definition of \hat{l}_n , all $\eta_{0,q} = 0$. From this, it is immediate that any element of $\mathcal{H}^{\otimes n}$ with only a single element from \mathcal{H}_c will trivially satisfy the requisite relations.

The next case to consider is an element of the form $x_1 \otimes x_1 \otimes y^m$. Because $\hat{l}_2(x_1 \otimes x_1) = 0$, we need only consider the second sum in the relation. The only possible non-zero term occurs in the expression $\eta_{1,1}(\eta_{1,0}(x_1), x_1) = \hat{l}_2(\hat{l}_1(x_1), x_1) = \hat{l}_2(y, x_1) = y$. However, this term occurs again with opposite sign because there are two (1,1) unshuffles of $x_1 \otimes x_1$ and the Koszul sign is -1 for the second one. Consequently, the relation is satisfied in this case.

A similar situation occurs with the case of elements of the form $x_2 \otimes x_2 \otimes y^m$. However, we have here non-trivial terms of the form (each $y_i = y$)

$$\eta_{1,m-s+1}(x_2; y_1, \dots, y_i, \eta_{1,s}(x_2; y_{i+1}, \dots, y_{i+s}), y_{i+s+1}, \dots, y_m) \\ = \eta_{1,m-s+1}(x_2; y_1, \dots, y_i, \frac{1}{s!} C'_{s+1} y, y_{i+s+1}, \dots, y_m) \\ = \frac{1}{s!} \frac{1}{(m-s+1)!} C'_{s+1} C'_{m-s} y.$$

As in the previous case, the second unshuffle of $x_2 \otimes x_2$ yields the same term with opposite sign.

Next consider the elements of the form $x_1 \otimes x_2 \otimes y^{\otimes m}$.

When $m = 1$ the OCHA relation has the form

$$\begin{aligned}
& \eta_{1,1}(\hat{l}_2(x_1, x_2); y) - \eta_{1,1}(x_1; \eta_{1,1}(x_2; y)) + \eta_{1,1}(x_2; \eta_{1,1}(x_1; y)) \\
& - \eta_{1,2}(x_1; \eta_{1,0}(x_2), y) - \eta_{1,2}(x_1; y, \eta_{1,0}(x_2)) + \eta_{1,2}(x_2; \eta_{1,0}(x_1), y) + \eta_{1,2}(x_2; y, \eta_{1,0}(x_1)) \\
& = \hat{l}_2(\hat{l}_2(x_1, x_2), y) - \hat{l}_2(x_1, \hat{l}_2(x_2, y)) + \hat{l}_2(x_2, \hat{l}_2(x_1, y)) \\
& - \frac{1}{2}\hat{l}_3(x_1, \hat{l}_1(x_2), y) - \frac{1}{2}\hat{l}_3(x_1, y, \hat{l}_1(x_2)) + \frac{1}{2}\hat{l}_3(x_2, \hat{l}_1(x_1), y) + \frac{1}{2}\hat{l}_3(x_2, y, \hat{l}_1(x_1)) \\
& = \hat{l}_2(x_1, y) - \hat{l}_2(x_1, 0) + \hat{l}_2(x_2, y) - \frac{1}{2}\hat{l}_3(x_1, y, y) - \frac{1}{2}\hat{l}_3(x_1, y, y) + \frac{1}{2}\hat{l}_3(x_2, y, y) + \frac{1}{2}\hat{l}_3(x_2, y, y) \\
& = y - 0 + 0 - 0 - 0 - \frac{1}{2}y - \frac{1}{2}y = 0
\end{aligned}$$

For $m > 1$, the first sum in the OCHA relation has the form (with each $y_i = y$)

$$\eta_{1,m}(\hat{l}_2(x_1, x_2); y_1, \dots, y_m) = \eta_{1,m}(x_1; y_1, \dots, y_m) = \frac{1}{m!}\hat{l}_{m+1}(x_1, y_1, \dots, y_m) = 0$$

so we consider only the second sum and evaluate the terms separately.

$$\begin{aligned}
\eta_{2,m}(x_1, x_2; \eta_{0,m}(y_1, \dots, y_m)) &= \frac{1}{m!}\hat{l}_{m+2}(x_1, x_2, \frac{1}{m!}\hat{l}_m(y_1, \dots, y_m)) \\
&= \frac{1}{m!}\hat{l}_{m+2}(x_1, x_2, 0) = 0
\end{aligned}$$

and

$$\begin{aligned}
-\eta_{1,m+1}(x_1; y_1, \dots, y_i, \eta_{1,0}(x_2), y_{i+1}, \dots, y_m) &= -\frac{1}{(m+1)!}\hat{l}_{m+2}(x_1; y_1, \dots, y_i, \hat{l}_1(x_2), y_{i+1}, \dots, y_m) \\
&= -\frac{1}{(m+1)!}\hat{l}_{m+2}(x_1; y_1, \dots, y_i, y, y_{i+1}, \dots, y_m) = 0, \forall i.
\end{aligned}$$

Next we have

$$-\eta_{1,m}(x_1; y_1, \dots, y_i, \eta_{1,1}(x_2; y_{i+1}), \dots, y_m) = \frac{1}{m!}\hat{l}_{m+1}(x_1, y_1, \dots, \hat{l}_2(x_2, y_{i+1}), \dots, y_m) = 0, \forall i.$$

In general, we have

$$\begin{aligned}
& -\eta_{1,m+1}(x_1; y_1, \dots, y_i, \eta_{1,s}(x_2; y_{i+1}, \dots, y_{i+s}), y_{i+s+1}, \dots, y_m) \\
& = -\frac{1}{(m+1)!}\hat{l}_{m+2}(x_1, y_1, \dots, \frac{1}{s!}\hat{l}_{1+s}(x_2, y_{i+1}, \dots, y_{i+s}), y_{i+s+1}, \dots, y_m) = 0
\end{aligned}$$

unless $i = 0$ and $s = m$ in which case we have

$$(1) \quad -\hat{l}_2(x_1, \frac{1}{m!}\hat{l}_{1+m}(x_2, y_1, \dots, y_m)) = -\hat{l}_2(x_1, \frac{1}{m!}C'_{m+1}y) = -\frac{1}{m!}C'_{m+1}y.$$

We next compute the analogous terms for the other (1,1) unshuffle with the order of x_1 and x_2 interchanged:

$$\begin{aligned} \sum_{i=0}^m \eta_{1,m+1}(x_2; y_1, \dots, y_i, \eta_{1,0}(x_1), \dots, y_m) &= \frac{1}{(m+1)!} \sum_{i=0}^m \hat{l}_{m+2}(x_2, y_1, \dots, \hat{l}_1(x_1), \dots, y_m) \\ (2) \quad &= \frac{1}{(m+1)!} \sum_{i=0}^m \hat{l}_{m+2}(x_2, y, \dots, y_i, \dots, y) = \frac{m+1}{(m+1)!} C'_{m+2} y = \frac{1}{m!} C'_{m+2} y. \end{aligned}$$

The final possibly non-zero terms occur in the sum

$$\begin{aligned} \sum_{i=0}^{m-1} \eta_{1,m}(x_2; y_1, \dots, y_i, \eta_{1,1}(x_1; y_{i+1}), y_{i+2}, \dots, y_m) &= \frac{1}{m!} \sum_{i=0}^{m-1} \hat{l}_{m+1}(x_2, y_1, \dots, y_i, \hat{l}_2(x_1, y_{i+1}), \dots, y_m) \\ (3) \quad &= \frac{1}{m!} \sum_{i=0}^{m-1} \hat{l}_{m+1}(x_2, y_1, \dots, y_i, y, \dots, y_m) = \frac{m}{m!} C'_{m+1} y. \end{aligned}$$

We collect the coefficients $\frac{1}{m!}(-C'_{m+1} + C'_{m+2} + mC'_{m+1})$ from equations (1), (2), and (3) and evaluate:

$$\begin{aligned} \frac{1}{m!}(-C'_{m+1} + C'_{m+2} + mC'_{m+1}) &= \frac{1}{m!}(C'_{m+2} + (m-1)C'_{m+1}) \\ &= \frac{1}{m!}((-1)^{m+2}(m-1)! + (-1)^{m+1}(m-1)(m-2)!) \\ &= \frac{1}{m!}((-1)^{m+2}(m-1)! + (-1)^{m+1}(m-1)!) = 0. \end{aligned}$$

A final non-trivial case is that in which we consider the element of the form $x_1 \otimes x_2 \otimes x_2$. The only non-zero summand is

$$\eta_{2,0}(\hat{l}_2(x_1, x_2), x_2)$$

which occurs twice with opposite signs that result from the unshuffle permutations. \square

We say that this example is “small” in two senses. First of all, the underlying vector space is 3-dimensional. Secondly, the only non-trivial operations are the Lie bracket $\hat{l}_2 : W_{-1} \otimes W_{-1} \rightarrow W_{-1}$ and the maps $\eta_{1,q} : W_{-1} \otimes W_0^{\otimes q} \rightarrow W_0$ for $q \geq 0$. Even though we may impose a trivial A_∞ algebra structure on W_0 , the presence of the non-trivial operation $\eta_{1,0}$ gives rise to an open-closed homotopy algebra structure rather than that of an A_∞ algebra over an L_∞ algebra. In string field theory the operation $\eta_{1,0}$ corresponds to the opening of a closed string into an open string.

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A. RAZMADZE MATHEMATICAL INSTITUTE, M. ALEKSIDZE STR. 1, TBILISI, 0193, GEORGIA
E-mail address: kade@rmi.acnet.ge

DEPARTMENT OF MATHEMATICS, BOX 8205, NORTH CAROLINA STATE UNIVERSITY, RALEIGH, NC
27695 USA
E-mail address: lada@math.ncsu.edu