

*Topological completeness of
polymodal provability logic GLP*

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Gödel's incompleteness theorem

A theory T is **gödelian**, if

- natural numbers, $+$ and \cdot are definable in T ;
- T proves some obvious properties of these operations;
- the set of axioms of T is computable.

$$\text{Con}(T) = \langle\langle T \text{ is consistent} \rangle\rangle$$

Gödel (1931): If a gödelian theory T is consistent, then $\text{Con}(T)$ is true but unprovable in T .

Lindenbaum algebras

Lindenbaum algebra of a theory T :

$\mathcal{L}_T = \{\text{sentences of } T\} / \sim_T$, where

$$\varphi \sim_T \psi \iff T \vdash (\varphi \leftrightarrow \psi)$$

\mathcal{L}_T is a boolean algebra with operations \wedge, \vee, \neg .

$\mathbf{1}$ = the set of provable sentences of T

$\mathbf{0}$ = the set of refutable sentences of T

For consistent gödelian T all such algebras are countable atomless, hence pairwise isomorphic.

Kripke, Pour-El: even computably isomorphic

Provability algebras

Emerged in 1970s: Macintyre/Simmons, Magari, Smoryński, ...

Consistency operator $\diamond : \mathcal{L}_T \rightarrow \mathcal{L}_T$

$$\varphi \mapsto \text{Con}(T + \varphi).$$

$(\mathcal{L}_T, \diamond) = \text{provability algebra of } T$

$$\Box\varphi = \neg\diamond\neg\varphi = \llbracket \varphi \text{ is provable in } T \rrbracket$$

Characteristic of (M, \diamond) :

$$\text{ch}(M) = \min\{k : \diamond^k \mathbf{1} = \mathbf{0}\};$$

$\text{ch}(M) = \infty$, if no such k exists.

Remark. If $\mathbb{N} \models T$, then $\text{ch}(\mathcal{L}_T) = \infty$.

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Identities of provability algebras

K. Gödel (33), M.H. Löb (55): Algebra $(\mathcal{L}_T, \diamond)$ satisfies the following set of identities *GL*:

- boolean identities
- $\diamond \mathbf{0} = \mathbf{0}$
- $\diamond(\varphi \vee \psi) = (\diamond\varphi \vee \diamond\psi)$
- $\diamond\varphi = \diamond(\varphi \wedge \neg\diamond\varphi)$ (Löb's identity)

GL-algebras = Magari algebras = diagonalizable algebras

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GL-algebras = Magari algebras = diagonalizable algebras

Provability logic

Let $\mathcal{A} = (A, \diamond)$ be a boolean algebra with an operator \diamond , and $\varphi(\vec{x})$ a term.

Def. Denote

- $\mathcal{A} \models \varphi$ if $\mathcal{A} \models \forall \vec{x} (\varphi(\vec{x}) = \mathbf{1})$;
- The logic of \mathcal{A} is $\text{Log}(\mathcal{A}) = \{\varphi : \mathcal{A} \models \varphi\}$.

R. Solovay (76): If $ch(\mathcal{L}_T) = \infty$, then $\text{Log}(\mathcal{L}_T, \diamond) = GL$.

GL is nice as a modal logic (decidable, Kripke complete, fmp, Craig, cut-free calculus, ...)

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n-consistency

Def. A gödelian theory T is *n-consistent*, if every provable Σ_n^0 -sentence of T is true.

$n\text{-Con}(T) = \langle\langle T \text{ is } n\text{-consistent} \rangle\rangle$

n-consistency operator $\langle n \rangle : \mathcal{L}_T \rightarrow \mathcal{L}_T$

$\varphi \mapsto n\text{-Con}(T + \varphi)$.

$[n] = \neg \langle n \rangle \neg$ (*n-provability*)

The algebra of n -provability

$$\mathcal{M}_T = (\mathcal{L}_T; \langle 0 \rangle, \langle 1 \rangle, \dots).$$

The following identities GLP hold in \mathcal{M}_T :

- GL , for all $\langle n \rangle$;
- $\langle n + 1 \rangle \varphi \rightarrow \langle n \rangle \varphi$;
- $\langle n \rangle \varphi \rightarrow [n + 1] \langle n \rangle \varphi$.

G. Japaridze (86): If $\mathbb{N} \models T$, then $Log(\mathcal{M}_T) = GLP$.

K. Ignatiev (91,93), G. Boolos (93): generalizations, simplifications

GLP_n is GLP in the language with n operators. $GLP_1 = GL$.

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The significance of GLP

GLP is

- Useful for proof theory:
 - Ordinal notations and consistency proof for *PA*;
 - Independent combinatorial assertion;
 - Characterization of provably total computable functions of *PA*.
- Fairly complicated and not so nice modal-logically:
 - no Kripke completeness, no cut-free calculus;
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Set-theoretic interpretation (neighborhood semantics)

Let X be a nonempty set, $\mathcal{P}(X)$ the b.a. of subsets of X .

Consider any operator $\delta : \mathcal{P}(X) \rightarrow \mathcal{P}(X)$ and the structure $(\mathcal{P}(X), \delta)$.

Question: Can $(\mathcal{P}(X), \delta)$ be a *GL*-algebra and, if yes, when?

Def. Write $(X, \delta) \models \varphi$ if $(\mathcal{P}(X), \delta) \models \varphi$. Also let $\text{Log}(X, \delta) := \text{Log}(\mathcal{P}(X), \delta)$.

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Derived set operators

Let X be a topological space, $A \subseteq X$.

Derived set $d(A)$ of A is the set of limit points of A :

$$x \in d(A) \iff \forall U_x \text{ open } \exists y \neq x \ y \in U_x \cap A.$$

Fact. If $(X, \delta) \models GL$ then X naturally bears a topology τ for which $\delta = d_\tau$, that is, $\delta : A \mapsto d_\tau(A)$, for each $A \subseteq X$.

In fact, we can define: A is τ -closed iff $\delta(A) \subseteq A$.

Equivalently, $c(A) = A \cup \delta(A)$ is the closure of A .

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Scattered spaces

Definition (Cantor): X is scattered if every nonempty $A \subseteq X$ has an isolated point.

Cantor-Bendixon sequence:

$$X_0 = X, \quad X_{\alpha+1} = d(X_\alpha), \quad X_\lambda = \bigcap_{\alpha < \lambda} X_\alpha, \text{ if } \lambda \text{ is a limit.}$$

Notice that all X_α are closed and $X_0 \supset X_1 \supset X_2 \supset \dots$

Fact (Cantor): X is scattered $\iff \exists \alpha : X_\alpha = \emptyset$.

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Examples

- Left topology τ_{\prec} on a strict partial ordering (X, \prec) .
 $A \subseteq X$ is open iff $\forall x, y (y \prec x \in A \Rightarrow y \in A)$.

Fact: (X, \prec) is well-founded iff (X, τ_{\prec}) is scattered.

- Ordinal Ω with the usual order topology generated by intervals (α, β) , $[0, \beta)$, (α, Ω) such that $\alpha < \beta$.

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Löb's identity = scatteredness

Simmons 74, Esakia 81

Löb's identity: $\diamond A = \diamond(A \wedge \neg \diamond A)$.

Topological reading:

$$d(A) = d(A \setminus d(A)) = d(\text{iso}(A)),$$

where $\text{iso}(A) = A \setminus d(A)$ is the set of isolated points of A .

Fact: The following are equivalent:

- X is scattered;
- $d(A) = d(\text{iso}(A))$ for any $A \subseteq X$;
- $(X, d) \models GL$.

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Completeness theorems

Theorem (Esakia 81): There is a scattered X such that $\text{Log}(X, d) = GL$. In fact, X is the left topology on a countable well-founded partial ordering.

Theorem (Abashidze/Blass 87/91): Consider $\Omega \geq \omega^\omega$ with the order topology. Then $\text{Log}(\Omega, d) = GL$.

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Topological models for GLP

We consider poly-topological spaces $(X; \tau_0, \tau_1, \dots)$ where modality $\langle n \rangle$ corresponds to the derived set operator d_n w.r.t. τ_n .

Definition: X is a *GLP-space* if

- τ_0 is scattered;
- For each $A \subseteq X$, $d_n(A)$ is τ_{n+1} -open;
- $\tau_n \subseteq \tau_{n+1}$.

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Basic example: Esakia space

Consider a bitopological space (Ω, τ_0, τ_1) , where

- Ω is an ordinal;
- τ_0 is the left topology on Ω ;
- τ_1 is the interval topology on Ω .

Fact (Esakia): (Ω, τ_0, τ_1) is a model of GLP_2 , but not an exact one: linearity axiom holds for $\langle 0 \rangle$.

Next topology and generated GLP -space

Let (X, τ) be a scattered space.

Fact: There is the coarsest topology τ^+ on X such that $(X; \tau, \tau^+)$ is a GLP_2 -space.

The **next topology** τ^+ is generated by τ and $\{d(A) : A \subseteq X\}$ (as a subbase).

Thus, any (X, τ) generates a GLP -space $(X; \tau_0, \tau_1, \dots)$ with $\tau_0 = \tau$ and $\tau_{n+1} = \tau_n^+$, for each n .

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Completeness for GLP_2

GLP_2 is complete w.r.t. GLP_2 -spaces generated from the left topology on a well-founded partial ordering (with Guram Bezhanishvili and Thomas Icard).

Theorem: There is a countable GLP_2 -space X such that $\text{Log}(X, d_0, d_1) = GLP_2$.

In fact, X has the form $(X; \tau_{\prec}, \tau_{\prec}^{\dagger})$ where (X, \prec) is a well-founded partial ordering.

Aside: This seems to be the first example of a finitely axiomatizable logic that is topologically complete but not Kripke complete.

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Difficulties

Difficulties for three or more operators.

Fact. If (X, τ) is hausdorff and first-countable (i.e. if each point has a countable neighborhood base), then (X, τ^+) is discrete.

Proof: Each $a \in X$ is a unique limit of a countable sequence $A = \{a_n\}$. Hence, $\{a\} = d(A)$ is open.

Ordinal GLP-spaces

Let τ_0 be the left topology on an ordinal Ω . It generates a GLP-space $(\Omega; \tau_0, \tau_1, \dots)$. What are these topologies?

Let θ_n denote the first limit point of τ_n .

	name	θ_n	$d_n(A)$
τ_0	left	1	$\{\alpha : A \cap \alpha \neq \emptyset\}$
τ_1	order	ω	$\{\alpha \in \text{Lim} : A \cap \alpha \text{ is unbounded in } \alpha\}$
τ_2	club	ω_1	$\{\alpha : \text{cf}(\alpha) > \omega \text{ and } A \cap \alpha \text{ is stationary in } \alpha\}$
τ_3	Mahlo	θ_3

Remarks: 1) Set theorists call d_2 Mahlo operation.

2) θ_3 is the so-called *doubly reflecting cardinal*, its existence is not provable in ZFC (equiconsistent with the existence of weakly compact cardinals). Studied by Magidor, Shelah and others.

Questions

Corollary: It is consistent with ZFC that (Ω, τ_3) is discrete.

Questions:

- Is there a *GLP*-space for which all τ_n are non-discrete?
- Is *GLP* topologically complete?

Topological completeness

GLP is complete w.r.t. (countable, hausdorff) GLP -spaces.

Theorem (B., Gabelaia 10): There is a countable hausdorff GLP -space X such that $Log(X) = GLP$.

In fact, X is ε_0 equipped with topologies refining the order topology, where $\varepsilon_0 = \sup\{\omega, \omega^\omega, \omega^{\omega^\omega}, \dots\}$.

Remark: If GLP is complete w.r.t. a GLP -space X , then all topologies of X have Cantor-Bendixon rank $\geq \varepsilon_0$.

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Remark: If GLP is complete w.r.t. a GLP -space X , then all topologies of X have Cantor-Bendixon rank $\geq \varepsilon_0$.

Some ideas of proof

- We are going to define a suitable class of scattered spaces, called *maximal*, which are well-behaved w.r.t. the operation $\tau \mapsto \tau^+$.
- We sketch how to build a non-discrete *GLP*-space using maximal spaces.
- Then we mention necessary modifications and some other ingredients needed for a completeness proof.

Rank function

Let X be a scattered space.

Let $d^\alpha(X)$ denote the α -th term in the Cantor–Bendixson sequence.

Let the *rank function* $\rho : X \rightarrow On$ be defined by

$$\rho(x) := \min\{\alpha : x \notin d^{\alpha+1}(X)\}.$$

$\rho(X) := \min\{\alpha : d^\alpha(X) = \emptyset\}$ is the *rank of X* .

Examples:

- $\rho_{<}(\alpha) = \alpha$, for the left topology;
- $r(\alpha) = \beta$, if $\alpha = \gamma + \omega^\beta$, and $r(0) = 0$, for the order topology.

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d -maps

Def. A function $f : X \rightarrow Y$ is a d -map, if

- f is open;
- f is continuous;
- f is pointwise discrete, i.e., $f^{-1}(a)$ is discrete, for each $a \in Y$.

Properties:

- $f^{-1}(d_Y(A)) = d_X(f^{-1}(A))$, for any $A \subseteq Y$;
- $f^{-1} : (\mathcal{P}(Y), d_Y) \rightarrow (\mathcal{P}(X), d_X)$ is a homomorphism;
- If f is onto, then $\text{Log}(X) \subseteq \text{Log}(Y)$.

d-maps and rank

Fact. Let On be the space of ordinals taken with the *left* topology.

- $\rho : X \rightarrow On$ is a *d*-map;
- If $f : X \rightarrow On$ is a *d*-map, then $f = \rho$.

Corollary. If $f : X \rightarrow Y$ is a *d*-map, then $\rho_X = \rho_Y \circ f$.

Maximal spaces

Def. Let $f : X \rightarrow Y$ be a d -map.

- (X, τ) is *maximal w.r.t. f* , if τ is a maximal topology on X such that f is a d -map (equivalently, f is open).
- (X, τ) is *maximal*, if (X, τ) is maximal w.r.t. the rank function $\rho_\tau : X \rightarrow On$, that is,

$$\forall \sigma (\sigma \supsetneq \tau \Rightarrow \exists x \rho_\sigma(x) \neq \rho_\tau(x)).$$

Fact. For every d -map $f : X \rightarrow Y$, the topology of X can be extended to a maximal one w.r.t. f .

Lifting lemma

Recall that τ^+ on X is generated by τ and $\{d(A) : A \subseteq X\}$.

Let X^+ denote the space (X, τ^+) .

Lemma. Let $f : X \rightarrow Y$ be an onto d -map. If X is maximal, then $f : X^+ \rightarrow Y^+$ is a d -map.

Comment. In general, 'next topology' operation is non-monotonic: There is a space X such that X^+ is discrete while $(X')^+$ is not, where X' is some maximal extension of X .

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Rank function for the next topology

Let ρ^+ be the rank function of X^+ .

Corollary. If X is maximal, then $\rho^+ = r \circ \rho$.

Proof. Let $\Omega := \rho(X)$ be the rank of X . Consider the d -map $\rho : X \rightarrow \Omega$ where Ω is taken with the left topology.

- By Lemma, $\rho : X^+ \rightarrow \Omega^+$ is a d -map.
- r is the rank function of Ω^+ (the order topology on Ω).
- Hence, $r \circ \rho$ is the rank function of X^+ .

Comment. For an arbitrary scattered X we only have $\rho^+ \leq r \circ \rho$.

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Corollary. If X is maximal, then $\rho^+ = r \circ \rho$.

Proof. Let $\Omega := \rho(X)$ be the rank of X . Consider the d -map $\rho : X \rightarrow \Omega$ where Ω is taken with the left topology.

- By Lemma, $\rho : X^+ \rightarrow \Omega^+$ is a d -map.
- r is the rank function of Ω^+ (the order topology on Ω).
- Hence, $r \circ \rho$ is the rank function of X^+ .

Comment. For an arbitrary scattered X we only have $\rho^+ \leq r \circ \rho$.

ME spaces

Def. A GLP-space $(X, \tau_0, \tau_1, \dots)$ is *ME* if

- τ_0 is maximal;
- for each n , τ_{n+1} is a maximal extension of τ_n^+ .

Let ρ_n be the rank function of τ_n .

Lemma. $\rho_{n+1} = r \circ \rho_n$.

Proof. τ_{n+1} has the same rank function as τ_n^+ , being its maximal extension, hence $\rho_{n+1} = \rho_n^+$. By the Corollary, $\rho_n^+ = r \circ \rho_n$.

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A non-discrete GLP-space

Take any scattered space (X, τ) whose rank Ω satisfies $\omega^\Omega = \Omega$.
For example, $X = \varepsilon_0$ with the order topology.

Construct topologies $\tau_0 \subseteq \tau_1 \subseteq \tau_2 \subseteq \dots$ by:

$$\tau_0 = \tau'; \quad \tau_{n+1} = (\tau_n^+)',$$

where σ' means any maximal extension of σ .

Theorem.

- 1 $(X, \tau_0, \tau_1, \dots)$ is an ME GLP-space.
- 2 $\rho_n(X) = r^n(\rho_0(X)) = r^n(\Omega) = \Omega$, for each n .

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Ingredients of the completeness proof

- Weakening maximality to *limit maximality* condition. A larger class of LME-spaces is defined.
- A well-behaved subsystem J of GLP with finite Kripke models, J-models.
- Constructing for each finite J-model M a LME-space X together with a *weak d-map* $X \twoheadrightarrow M$.

Topological constructions

This is based on two topological constructions with LME-spaces:

- lifting;
- d -product.

d -product generalizes to arbitrary scattered spaces the operation of ordinal multiplication.

Thank you!