On Exceptional Nilpotents in Semisimple Lie Algebras

A. G. Elashvili, V. G. Kac, and E. B. Vinberg

Communicated by K.-H. Neeb

Abstract. We classify all pairs (m, e), where m is a positive integer and e is a nilpotent element of a simple Lie algebra, that arise in connection with the classification of simple rational W-algebras.
Mathematics Subject Classification 2000: Primary 17B20; Secondary 17B69.
Key Words and Phrases: Simple Lie algebra, nilpotent orbit, centralizer, Coxeter number, Levi subalgebra.

1. Introduction

1.1. In [7], V. Kac and M. Wakimoto suggested a construction of some class of rational vertex algebras coming from W-algebras. The data for this construction consists of a positive integer m and a nilpotent element e of a semisimple Lie algebra $\mathfrak{g}$ satisfying some special conditions (see Section 2). A pair (m, e) satisfying those conditions was called exceptional by Kac and Wakimoto. They classified exceptional pairs in simple Lie algebras of type A_n. In this paper, we simplify the definition of exceptional pairs and classify such pairs in all semisimple Lie algebras. In particular, we prove that, for any semisimple Lie algebra $\mathfrak{g}$ and for any m, there is at most one, up to conjugation, nilpotent element e in $\mathfrak{g}$ such that the pair (m, e) is exceptional.

1.2. Let G be a connected semisimple algebraic group over an algebraically closed field F of characteristic 0, and let $\mathfrak{g} = \mathrm{Lie}\, G$.

Let $\mathfrak{s}^{\mathrm{pr}}$ be a principal $\mathfrak{sl}_2$-subalgebra of $\mathfrak{g}$ [8]. The corresponding connected subgroup $S^{\mathrm{pr}} \in G$ is isomorphic to SL_2 or PSL_2, in the former case its center being contained in the center of G. Let h^{pr} be the semisimple element of $\mathfrak{s}^{\mathrm{pr}}$ corresponding to the matrix $\mathrm{diag}(1, -1) \in \mathfrak{sl}_2$.

For a positive integer m, let $\varepsilon_{2m} \in F$ be a primitive $2m$-th root of 1, and let s_m be the element of S^{pr} corresponding to the matrix $\mathrm{diag}(\varepsilon_{2m}, \varepsilon_{2m}^{-1})$. Then $\sigma_m = Ad(s_m)$ is an automorphism of order m of $\mathfrak{g}$. We shall call σ_m a principal automorphism of order m. Note that in general there are several conjugacy classes of principal automorphisms of order m depending on the choice of ε_{2m}.

The action of σ_m can be described as follows. Choose a maximal torus $T \subset G$ and a Weyl chamber in $\mathfrak{t} = \text{Lie } T$ containing h^{pr}. Let $\{\alpha_1, ..., \alpha_n\}$ be the corresponding set of simple roots. Then $\alpha_i(h^{\text{pr}}) = 2$ for $i = 1, \ldots, n$. For any root α, denote by $\text{ht}(\alpha)$ its height, i. e. the sum of coefficients in the linear expression of α in terms of $\alpha_1, ..., \alpha_n$. Then, for any root vector e_α,

$$\sigma_m(e_\alpha) = \varepsilon_m^{\text{ht}(\alpha)} e_\alpha,$$

where $\varepsilon_m = \varepsilon_{2m}^2$.

For any automorphism σ of $\mathfrak{g}$, denote by $\mathfrak{g}^\sigma$ the subalgebra of fixed points of σ. Clearly, the subalgebra $\mathfrak{g}^{\sigma_m}$ up to conjugacy does not depend on the choice of ε_{2m}. Set

$$d(m) = \dim \mathfrak{g}^{\sigma_m}.$$

Recall that, for a simple Lie algebra $\mathfrak{g}$, its Coxeter number $h(\mathfrak{g})$ is defined as the order of the Coxeter element of the Weyl group, and it is known [8] that

$$h(\mathfrak{g}) = \text{ht}(\delta) + 1,$$

where δ is the highest root of $\mathfrak{g}$. The Coxeter numbers of simple Lie algebras are given in the following table:

$\mathfrak{g}$	A_n	B_n	C_n	D_n	E_6	E_7	E_8	F_4	G_2
$h(\mathfrak{g})$	$n+1$	$2n$	$2n$	$2n-2$	12	18	30	12	6

For any reductive Lie algebra $\mathfrak{l}$, define the Coxeter number $h(\mathfrak{l})$ as the maximum of the Coxeter numbers of the simple factors of $\mathfrak{l}$. (For $\mathfrak{l}$ abelian, set $h(\mathfrak{l}) = 1$.)

The above formula for the action of σ_m implies that $d(m) = \text{rk}(\mathfrak{g})$ (that is, s_m is a regular element of G) if and only if $m \geqslant h(\mathfrak{g})$. It is interesting that in the cases $m = h(\mathfrak{g})$ and $m = h(\mathfrak{g}) + 1$ the automorphism σ_m is, up to conjugacy, the only regular inner automorphism of order m [6].

Theorem 1. (J.-P. Serre [10].) *Let σ be an inner automorphism of $\mathfrak{g}$ satisfying the condition $\sigma^m = \text{id}$ for a positive integer m. Then*

$$\dim \mathfrak{g}^\sigma \geqslant d(m).$$

A proof of this theorem has never been published. By kind permission of Serre, we include his elegant proof in Section 3 of this paper.

Corollary[6]. *Regular semisimple elements $s \in G$ with $\text{Ad}(s)^m = \text{id}$ exist if and only if $m \geqslant h(\mathfrak{g})$ (and in this case s_m is one such element).*

1.3. For a nilpotent element $e \in \mathfrak{g}$, denote by $L(e)$ the centralizer of a maximal torus of the centralizer $Z(e)$ of e in G. This is a (reductive) Levi subgroup of G defined up to conjugacy by an element of $Z(e)$. Its tangent Lie algebra $\mathfrak{l}(e)$ contains e. Define the Coxeter number $h(e)$ of e as the Coxeter number of $\mathfrak{l}(e)$.

Theorem 2. *For any nilpotent element $e \in \mathfrak{g}$ with $h(e) \leqslant m$,*

$$\dim Z(e) \geqslant d(m).$$

This theorem looks similar to the above theorem of Serre. For even nilpotent elements, it can be proved if making use of an unpublished result of D. Panyushev. But, unfortunately, we do not have a conceptual proof of Theorem 2 in the general case. In this paper, a proof of it comes as a result of the classification: see Sections 4, 5.

Definition. Let m be a positive integer and $e \in \mathfrak{g}$ be a nilpotent element. The pair (m, e) is called *exceptional* if

$$h(e) \leqslant m, \tag{I}$$
$$\dim Z(e) = d(m). \tag{II}$$

In this case e is called an exceptional nilpotent element and m an exceptional integer (for $\mathfrak{g}$).

This definition does not coincide with but is essentially equivalent to that of Kac and Wakimoto: see the discussion in Section 2.

Let $\mathfrak{g} = \mathfrak{g}_1 + \ldots + \mathfrak{g}_s$ be the decomposition of $\mathfrak{g}$ into a direct sum of simple ideals, and let $e = e_1 + \ldots + e_s$ $(e_i \in \mathfrak{g}_i)$ be a nilpotent element. Clearly, the pair (m, e) is exceptional in $\mathfrak{g}$ if and only if the pair (m, e_i) is exceptional in $\mathfrak{g}_i$ for every i. Thus, the classification problem for exceptional pairs reduces to the case, when $\mathfrak{g}$ is simple.

The pair (1,0) is obviously exceptional. For all other exceptional pairs, $m > 1$ and $e \neq 0$. On the other hand, a pair (m, e) with $m \geqslant h(\mathfrak{g})$ is exceptional if and only if e is regular (=principal). The exceptional pairs of these two types are called *trivial*.

A nilpotent element $e \in \mathfrak{g}$ is said to be of *principal type* if it is principal in $\mathfrak{l}(e)$. We shall prove (see Section 2) that any exceptional nilpotent element e is of principal type.

1.4. The main result of this paper is the following classification theorem.

Theorem 3. *For any simple Lie algebra $\mathfrak{g}$ and any positive integer m, there exists at most one nilpotent orbit $\mathrm{Ad}(G)e$ in $\mathfrak{g}$ such that the pair (m, e) is exceptional. All non-trivial exceptional pairs (m, e) in the classical and exceptional simple Lie algebras are listed in Tables 1 and 2, respectively.*

(In fact, Table 1 contains also some trivial exceptional pairs.)

In Table 1, the nilpotent element e is given by the corresponding partition of N, constituted by the orders of its Jordan blocks. In Table 2, it is given by the type of the derived algebra $\mathfrak{l}(e)'$ of $\mathfrak{l}(e)$. In the cases of G_2 and F_4, tildas mean that the root system of the corresponding regular subalgebra consists of short roots.

Formulas for the numbers $d(m)$ in the classical case are given in 4. In the exceptional case, these numbers are given in the tables on Figures 2-6.

Table 1.

$\mathfrak{g}$	m	e
$\mathfrak{sl}_N$	any	$(m, ..., m, r),\ 0 \leqslant r \leqslant m - 1$
$\mathfrak{sp}_N,$ N even	any	$(\underbrace{m, ..., m}_{\text{even}}, r),\ 0 \leqslant r \leqslant m,\ r$ even
	odd	$(\underbrace{m, ..., m}_{\text{even}}, m - 1, m - 1)$
$\mathfrak{so}_N,$ N odd	odd	$(\underbrace{m, ..., m}_{\text{even}}, r),\ 1 \leqslant r \leqslant m,\ r$ odd
	odd	$(\underbrace{m, ..., m}_{\text{odd}}, 1, 1)$
	even	$(m + 1, \underbrace{m, ..., m}_{\text{even}})$
	even	$(m + 1, \underbrace{m, ..., m}_{\text{even}}, 1, 1)$
	even	$(m + 1, \underbrace{m, ..., m}_{\text{even}}, m - 1, m - 1)$
$\mathfrak{so}_N,$ N even	odd	$(\underbrace{m, ..., m}_{\text{even}}, r, 1),\ 1 \leqslant r \leqslant m,\ r$ odd
	odd	$(\underbrace{m, ..., m}_{\text{even}})$
	even	$(m + 1, \underbrace{m, ..., m}_{\text{even}}, 1)$
	even	$(m + 1, \underbrace{m, ..., m}_{\text{even}}, m - 1, m - 1, 1)$

Table 2.

$\mathfrak{g}$	m	e
G_2	2	$\tilde{A}_1$
F_4	2	$A_1 + \tilde{A}_1$
	3	$\tilde{A}_2 + A_1$
E_6	2	$3A_1$
	3	$2A_2 + A_1$
	5	$A_4 + A_1$
	8	D_5
E_7	2	$4A_1$
	3	$2A_2 + A_1$
	4	$A_3 + A_2 + A_1$
	5	$A_4 + A_2$
	7	A_6
E_8	2	$4A_1$
	3	$2A_2 + 2A_1$
	4	$2A_3$
	5	$A_4 + A_3$
	7	$A_6 + A_1$
	8	A_7

1.5. If (m, e) is an exceptional pair, then $m \geqslant h(e)$ and

$$d(h(e)) \leqslant \dim Z(e) = d(m) \leqslant d(h(e)),$$

whence $d(m) = d(h(e))$ and $(h(e), e)$ is also an exceptional pair. A priori it is possible that $m > h(e)$. This really happens for regular e, where any $m > h(e) = h(\mathfrak{g})$ fits. Apart from this case, this never happens in the exceptional Lie algebras. In the classical Lie algebras, this happens only in the following two cases:

1) $\mathfrak{g} = \mathfrak{sp}_{2n}$, n even, e is defined by the partition (n, n), $m = n + 1$ $(> n = h(e))$;

2) $\mathfrak{g} = \mathfrak{so}_{2n+1}$, e is defined by the partition $(2n-1,1,1)$, $m = 2n-1$ $(> 2n-2 = h(e))$.

1.6. It follows from the tables in [1] that the centralizer of any exceptional nilpotent element in a simple Lie algebra $\mathfrak{g} \neq \mathfrak{so}_N$ is connected. For $\mathfrak{g} = \mathfrak{so}_N$, it may have at most two connected components.

1.7. For any positive integer k and any simple Lie algebra $\mathfrak{g}$, set $N_k(\mathfrak{g}) = \{x \in \mathfrak{g} : (\mathrm{ad}x)^k = 0\}$. The irreducible components of the varieties $N_k(\mathfrak{g})$ are found in [13]. One checks, using these results, that a pair (m,e) is exceptional if and only if e is of principal type and $\mathrm{Ad}(G)e$ is open in $N_{2m}(\mathfrak{g})$.

1.8. We thank D. Panyushev who let us know about an unpublished result of J.-P. Serre (Theorem 1 above) having been mentioned in Serre's talk on an Oberwolfach conference in 1998, and to J.-P. Serre who permitted us to include his proof in this paper and made some useful remarks on the paper. We also thank M. Jibladze for providing technical help in the preparation of this manuscript.

2.   Definition of exceptional pairs

2.1. The original definition of exceptional pairs was given in terms of W-algebras. However, Theorem 2.32 of [7] permits to give an equivalent definition in internal terms of the algebra $\mathfrak{g}$.

For a nilpotent element e of a semisimple Lie algebra $\mathfrak{g}$ and a positive integer m, denote by $S(m,e)$ the set of all regular semisimple elements s of $L(e)$ such that $\mathrm{Ad}(s)^m = \mathrm{id}$. According to [7], the pair (m,e) is exceptional if e is of principal type, $S(m,e) \neq \varnothing$, and

$$\min_{s \in S(m,e)} \dim Z(s) = \dim Z(e).$$

Remark. In fact, Kac and Wakimoto require in addition that m should be coprime to the "lacety" of $\mathfrak{g}$, which is 1 for types A,D,E, 2 for B,C,F, and 3 for G. We will disregard this requirement, which is natural from the point of view of W-algebras but looks artificial from the point of view of the theory of semisimple Lie algebras and, besides, it does not facilitate the classification. One can note, however, that this requirement is violated in cases 1) and 2) of subsection 1, so if we adopt it, then for any non-principal nilpotent element $e \in \mathfrak{g}$ there will be at most one positive integer m such that the pair (m,e) is exceptional, as was conjectured in [7].

Applying Corollary to Theorem 1 to $L(e)$, we obtain that $S(m,e) \neq \varnothing$ if and only if $h(e) \leqslant m$, which is just condition (I) of the definition of an exceptional pair given in the introduction.

Let us fix a maximal torus $T \subset G$ and a set of simple roots $\alpha_1,..., \alpha_n$ with respect to it. Fix also a principal $\mathfrak{sl}_2$-subalgebra $\mathfrak{s}^{\mathrm{pr}}$ with h^{pr} contained in the Weyl chamber in $\mathfrak{t} = \mathrm{Lie}\, T$. Let s_m be the element of the corresponding subgroup S^{pr} defined as in the introduction. One may assume that $L(e)$ contains T and, moreover, that $\mathfrak{l}(e)$ is generated by $\mathfrak{t} = \mathrm{Lie}\, T$ and some positive and the opposite negative simple root vectors. Then $s_m \in T \subset L(e)$, and the description of the action of $\sigma_m = \mathrm{Ad}(s_m)$ given in the introduction shows that if $h(e) \leqslant m$, then s_m is a regular element of $L(e)$, so $s_m \in S(m,e)$. Now, Theorem 1 implies that

$$\min_{s \in S(m,e)} \dim Z(s) = \dim Z(s_m) = d(m).$$

Thus, the dimension condition in the above definition of an exceptional pair reduces to condition (II) of the definition given in the introduction.

2.2. Let $e \in \mathfrak{g}$ be a nilpotent element with $h(e) \leqslant m$, and let e_0 be a principal nilpotent element of $\mathfrak{l}(e)$. Then $\mathfrak{l}(e_0) = \mathfrak{l}(e)$, so $h(e_0) = h(e) \leqslant m$. Further, e lies in the closure of the $L(e)$-orbit of e_0, hence, in the closure of the G-orbit of e_0. Hence,

$$\dim Z(e) \geqslant \dim Z(e_0),$$

the equality taking place only if $e \in \mathrm{Ad}(G)e_0$.

According to Theorem 2 (which will be proved in Sections 4,5 together with the classification of exceptional pairs), $\dim Z(e_0) \geqslant d(m)$. Hence, the equality $\dim Z(e) = d(m)$ can only take place if $e \in \mathrm{Ad}(G)e_0$.

Suppose that $e = \mathrm{Ad}(g)e_0$ for some $g \in G$. Multiplying g from the left by some element of $Z(e)$, one may assume that $\mathrm{Ad}(g)\mathfrak{l}(e) = \mathfrak{l}(e)$. Then $\mathrm{Ad}(g)$ leaves invariant the principal nilpotent orbit in $\mathfrak{l}(e)$. Hence, e lies in this orbit, i.e., e is a principal nilpotent element in $\mathfrak{l}(e)$.

Thus, the original definition of an exceptional pair given in [7] is in fact equivalent to the definition given in the introduction.

3.   Proof of Theorem 1

3.1. Serre's proof of Theorem 1 is based on the product formula for the character φ_λ of the restriction to S^{pr} of the irreducible representation of G with highest weight λ. Apparently, this formula was already known in the 60s but was not explicitly written at that time. A more recent reference is [5], formula (3.29).

Denote by $s(t)$ the element of S^{pr} corresponding to the matrix $\mathrm{diag}(t, t^{-1}) \in \mathrm{SL}_2$. Then the formula is

$$\varphi_\lambda(s(t)) = t^{-\langle \lambda, \rho^\vee \rangle} \prod_{\alpha > 0} \frac{t^{\langle \lambda + \rho, \alpha^\vee \rangle} - 1}{t^{\langle \rho, \alpha^\vee \rangle} - 1},$$

where ρ is, as usual, the half-sum of positive roots, $\alpha^\vee$ denotes the coroot corresponding to α, and $\rho^\vee$ is the half-sum of positive coroots. It is obtained from the Weyl character formula; one should only note that the Weyl denominator formula (for the dual root system) is applicable to the numerator if one is only interested in the restriction of the character to S^{pr}.

Clearly, the product in the right hand side must be a polynomial in t. Let us represent it in a little different form. First, note that $\langle \rho, \alpha^\vee \rangle$ is nothing else than the height $\text{ht}(\alpha^\vee)$ of $\alpha^\vee$, since $\langle \rho, \alpha_i^\vee \rangle = 1$ for every simple coroot $\alpha_i^\vee$. Second, $\langle \lambda + \rho, \alpha^\vee \rangle$ can be interpreted as the "weighted height" of α if one assigns the weight $l_i = \langle \lambda + \rho, \alpha_i^\vee \rangle = \langle \lambda, \alpha_i^\vee \rangle + 1$ to each simple coroot $\alpha_i^\vee$. Denote the so defined weighted height of $\alpha^\vee$ by $\text{ht}_{\boldsymbol{l}}(\alpha^\vee)$, where $\boldsymbol{l} = (l_1, ..., l_n)$. Note that $l_1, ..., l_n$ may be arbitrary positive integers. In particular, $\text{ht}(\alpha^\vee) = \text{ht}_{\boldsymbol{1}}(\alpha^\vee)$, where $\boldsymbol{1} = (1, ..., 1)$.

Finally, replacing the root system of G with its dual, we come to the following

Proposition 2.1 [12, Theorem 1]. *For any set $\boldsymbol{l} = (l_1, ..., l_n)$ of positive integers, the polynomial*

$$P_{\boldsymbol{l}}(t) = \prod_{\alpha > 0} (t^{\text{ht}_{\boldsymbol{l}}(\alpha)} - 1)$$

is divisible by the polynomial

$$P_{\boldsymbol{1}}(t) = \prod_{\alpha > 0} (t^{\text{ht}(\alpha)} - 1).$$

3.2. Now we are ready to prove Theorem 1.

Let σ be an inner automorphism of $\mathfrak{g}$ satisfying the condition $\sigma^m = \text{id}$ for some positive integer m. One may assume that σ is a conjugation by some element $s \in T$. Then

$$\sigma(e_{\alpha_i}) = \varepsilon_m^{l_i} e_{\alpha_i} \ (l_i \in \{1, ..., m\}),$$

where $\varepsilon_m = \exp \frac{2\pi i}{m}$, and, hence, for any $\alpha > 0$,

$$\sigma(e_\alpha) = \varepsilon_m^{\text{ht}_{\boldsymbol{l}}(\alpha)} e_\alpha,$$

where $\boldsymbol{l} = (l_1, ..., l_n)$. This implies that

$$\dim \mathfrak{g}^\sigma = \text{rk}\,\mathfrak{g} + 2\#\{\alpha > 0 : m \,|\, \text{ht}_{\boldsymbol{l}}(\alpha)\}.$$

In particular,

$$d(m) = \text{rk}\,\mathfrak{g} + 2\#\{\alpha > 0 : m \,|\, \text{ht}(\alpha)\}.$$

Clearly, $\#\{\alpha > 0 : m \,|\, \text{ht}(\alpha)\}$ is the multiplicity of ε_m as a root of the polynomial $P_{\boldsymbol{1}}(t)$, while $\#\{\alpha > 0 : m \,|\, \text{ht}_{\boldsymbol{l}}(\alpha)\}$ is the multiplicity of ε_m as a root of the polynomial $P_{\boldsymbol{l}}(t)$. According to Proposition 2.1, the latter is not less than the former, whence Theorem 1 follows.

3.3. In addition, let us prove some useful monotonicity properties of the function $m \mapsto d(m)$.

Proposition 2.2. *If $m' < m$, then $d(m') \geqslant d(m)$. Moreover, if $m' \,|\, m$, $m' \neq m < h(\mathfrak{g})$, then $d(m') > d(m)$.*

Proof.   The second inequality immediately follows from the preceding formula for $d(m)$ and the definition of $h(\mathfrak{g})$.

The first inequality follows from the well-known fact that the number $\#\{\alpha > 0 : \text{ht}(\alpha) = k\}$ is monotonically decreasing in k (see [3, 8]). Indeed, if $m' < m$, then, for any k,

$$\#\{\alpha > 0 : \text{ht}(\alpha) = km'\} \geqslant \#\{\alpha > 0 : \text{ht}(\alpha) = km\},$$

whence the required inequality follows.   ∎

4.   Classification: the classical Lie algebras

4.1.   Our strategy in proving Theorems 2 and 3 will be the following. For each simple Lie algebra $\mathfrak{g}$ we consider the set $\text{Nil}(\mathfrak{g})$ of its nilpotent orbits partially ordered by the inclusion of the closures. Then for each $m < h(\mathfrak{g})$ we consider the subset $\text{Nil}_m(\mathfrak{g})$ of nilpotent orbits $\text{Ad}(G)e$ with $h(e) \leqslant m$ and determine its maximal elements, which we call *essential* nilpotent orbits. (They are automatically nilpotent orbits of principal type: see Section 2.) We check that $\dim Z(e) \geqslant d(m)$ for any essential nilpotent orbit and thereby prove Theorem 2. At the same time, we find all essential nilpotent orbits $\text{Ad}(G)e \in \text{Nil}_m(\mathfrak{g})$ with $\dim Z(e) = d(m)$ and thus obtain a classification of exceptional pairs. It turns out that for each m there is at most one essential nilpotent orbit with this property.

4.2.   First of all, we will deduce some general formulas for the dimensions of the centralizers of semisimple elements in the classical groups $G = SL_N$, Sp_N, SO_N.

Let $s \in SL_N$ be a semisimple element with eigenvalues of multiplicities $n_1, ..., n_p$ (so $n_1 + ... + n_p = N$). Denote by K the sum of squares of these multiplicities. The centralizer of s in GL_n is isomorphic to $GL_{n_1} \times ... \times GL_{n_p}$ and, hence, its dimension is equal to K. The dimension of the centralizer $Z(s)$ of s in SL_N is one less. Thus,

$$\dim Z(s) = K - 1 \text{ for } G = SL_N. \tag{1}$$

If $s \in Sp_N$ or SO_N, the eigenvalues of s distinct from ± 1 decompose into pairs of mutually inverse ones. Let $n_1, ..., n_q$ be the common multiplicities of the eigenvalues of these pairs, and let n_+ and n_- be the multiplicities of the eigenvalues 1 and -1 (so $2(n_1 + ... + n_q) + n_+ + n_- = N$). As above, denote by K the sum of squares of all the multiplicities, that is,

$$K = 2(n_1^2 + ... + n_q^2) + n_+^2 + n_-^2.$$

For $s \in Sp_N$ the centralizer of s in Sp_N is isomorphic to $GL_{n_1} \times ... \times GL_{n_q} \times Sp_{n_+} \times Sp_{n_-}$. Hence,

$$\dim Z(s) = n_1^2 + ... + n_q^2 + \frac{n_+(n_+ + 1)}{2} + \frac{n_-(n_- + 1)}{2},$$

which can be written in the form

$$2 \dim Z(s) = K + n_+ + n_- \text{ for } G = Sp_N. \tag{2}$$

Similarly, for $s \in SO_N$ the connected centralizer of s in SO_N is isomorphic to $GL_{n_1} \times \ldots \times GL_{n_q} \times SO_{n_+} \times SO_{n_-}$, whence

$$2 \dim Z(s) = K - n_+ - n_- \text{ for } G = SO_N. \tag{3}$$

4.3. Let us now calculate the numbers $d(m)$ for the classical simple Lie algebras $\mathfrak{g} = \mathfrak{sl}_N, \mathfrak{sp}_N, \mathfrak{so}_N$. In the last two cases, we will suppose that the invariant (skew-symmetric or symmetric) inner product is defined by

$$(e_i, e_{N+1-i}) = 1 \text{ for } i \leqslant (N+1)/2,$$
$$(e_i, e_j) = 0 \text{ for } i + j \neq (N+1),$$

where $\{e_1, \ldots, e_N\}$ is the standard basis of F^N.

Fix a maximal torus T in G consisting of diagonal matrices and a Borel subgroup consisting of upper triangular matrices. If $\mathfrak{g} \neq \mathfrak{so}_N$ with N even, then

$$h^{\mathrm{pr}} = \mathrm{diag}(N-1, N-3, \ldots, -(N-3), -(N-1)),$$

and, hence,

$$s_m = \mathrm{diag}(\varepsilon_{2m}^{N-1}, \varepsilon_{2m}^{N-3}, \ldots, \varepsilon_{2m}^{-(N-3)}, \varepsilon_{2m}^{-(N-1)}). \tag{4}$$

If $\mathfrak{g} = \mathfrak{so}_N$ with N even, then the subgroup S^{pr} is contained in the subgroup SO_{N-1} embedded into SO_N in the standard way (and is a principal 3-dimensional subgroup there). It follows that in this case

$$s_m = \mathrm{diag}(\varepsilon_{2m}^{N-2}, \varepsilon_{2m}^{N-4}, \ldots, \varepsilon_{2m}^{2}, 1, 1, \varepsilon_{2m}^{-2}, \ldots, \varepsilon_{2m}^{-(N-4)}, \varepsilon_{2m}^{-(N-2)}). \tag{5}$$

Let $N = qm + r$, where $1 \leqslant r \leqslant m$, if $\mathfrak{g} = \mathfrak{so}_N$, N even, and $0 \leqslant r \leqslant m-1$ in all the other cases. Then $(\underbrace{m, \ldots, m}_{q}, r)$ is a partition of N. Denote by $K(m)$ the sum of squares of the parts of the dual partition $(\underbrace{q+1, \ldots, q+1}_{r}, \underbrace{q, \ldots, q}_{m-r})$, that is,

$$K(m) = r(q+1)^2 + (m-r)q^2.$$

Proposition 3.1.

1) For $\mathfrak{g} = \mathfrak{sl}_N$,

$$d(m) = K(m) - 1.$$

2) For $\mathfrak{g} = \mathfrak{sp}_N$,

$$2d(m) = K(m) + \begin{cases} q, & \textit{if } m \textit{ is odd, } q \textit{ is even,} \\ q+1, & \textit{if } m \textit{ and } q \textit{ are odd,} \\ 0, & \textit{if } m \textit{ is even.} \end{cases}$$

3) For $\mathfrak{g} = \mathfrak{so}_N$, N odd,

$$2d(m) = K(m) - \begin{cases} q, & \text{if } m \text{ and } q \text{ are odd,} \\ q + 1, & \text{if } m \text{ is odd, } q \text{ is even,} \\ 2q + 1, & \text{if } m \text{ is even.} \end{cases}$$

4) For $\mathfrak{g} = \mathfrak{so}_N$, N even,

$$2d(m) = K(m) - \begin{cases} q, & \text{if } m \text{ is odd, } q \text{ is even,} \\ q + 1, & \text{if } m \text{ and } q \text{ are odd,} \\ 2q, & \text{if } m \text{ and } q \text{ are even,} \\ 2(q + 1), & \text{if } m \text{ is even, } q \text{ is odd.} \end{cases}$$

Proof.   The proof of 1)-3) is obtained by applying formulas (1)-(3) to $s = s_m$. Since the eigenvalues of s_m constitute a geometric progression with denominator ε_m, their multiplicities are $\underbrace{q + 1, ... q + 1}_{r}, \underbrace{q, ..., q}_{m-r}$. In particular, $K = K(m)$, whence 1) immediately follows.

To prove 2) and 3), one should determine $n_+ + n_-$ for $s = s_m$.

For $\mathfrak{g} = \mathfrak{sp}_N$, it follows from (4) that all the eigenvalues of s_m are m-th roots of -1. Hence, 1 is not an eigenvalue of s_m. Moreover, if m is even, -1 is not an eigenvalue, neither. If m is odd, $n_+ = q$ or $q + 1$. In order to distinguish between these two possibilities, it suffices to note that for symmetry reason n_+ must be even.

For $\mathfrak{g} = \mathfrak{so}_N$, N odd, the eigenvalues of s_m are m-th roots of 1. Hence, if m is odd, -1 is not an eigenvalue, while $n_+ = q$ or $q + 1$; but for symmetry reason n_+ must be odd, which permits to determine n_+ uniquely. If m is even, both n_+ and n_- are equal to q or $q + 1$. For symmetry reason, $n_+ + n_-$ must be odd, whence $n_+ + n_- = 2q + 1$.

Let now $\mathfrak{g} = \mathfrak{so}_N$ with N even. It follows from our definition of $K(m)$ that $K = K(m)$ if q is odd, and $K = K(m) + 2$ if q is even. As in the preceding case, the eigenvalues of s_m are m-th roots of 1 (see (5)). If m is odd, -1 is not an eigenvalue, while the multiplicity of the eigenvalue 1 is even and equals $q + 1$ or $q + 2$. If m is even, we have $n_+ = q + 1$ or $q + 2$ and $n_- = q$ or $q + 1$; but for symmetry reason n_+ and n_- are even, so $n_+ + n_- = 2q + 2$, which gives 4).   ■

4.4.   In this subsection, we collect some well-known facts about nilpotent orbits in the classical simple Lie algebras $\mathfrak{g} = \mathfrak{sl}_N$, $\mathfrak{sp}_N$, $\mathfrak{so}_N$. For more details and proofs, see, for example, [2].

A nilpotent orbit $\mathrm{Ad}(G)e$ in $\mathfrak{g}$ is uniquely defined by the partition $(n_1, ... n_p)$ of N constituted by the orders of Jordan blocks of e (acting on F^N), with the only reservation that in the case $\mathfrak{g} = \mathfrak{so}_N$ with $N \equiv 0 \pmod 4$, the partitions with all even parts correspond to two different nilpotent orbits permuted by an outer automorphism of $\mathfrak{g}$. The partition $(n_1, ..., n_p)$ may be arbitrary for $\mathfrak{g} = \mathfrak{sl}_N$ but in the other cases is subject to some restrictions. Namely, for $\mathfrak{g} = \mathfrak{sp}_N$ the

multiplicity of each odd part of the partition should be even, while for $\mathfrak{g} = \mathfrak{so}_N$ the multiplicity of each even part should be even; we shall call such partitions *admissible* for $\mathfrak{g}$. We agree to think of the parts of a partition as of the rows of a Young diagram going from the bottom to the top and aligned from the left.

Denote by $K(e)$ the sum of squares of the parts of the partition dual to $(n_1, ..., n_p)$ (constituted by the columns of the corresponding Young diagram). Then the dimension of the centralizer $Z(e)$ of e in G is given by the following formulas:

$$\dim Z(e) = K(e) - 1 \text{ for } \mathfrak{g} = \mathfrak{sl}_N, \tag{6}$$

$$2 \dim Z(e) = K(e) + \#\{i : n_i \text{ odd}\} \text{ for } \mathfrak{g} = \mathfrak{sp}_N, \tag{7}$$

$$2 \dim Z(e) = K(e) - \#\{i : n_i \text{ odd}\} \text{ for } \mathfrak{g} = \mathfrak{so}_N. \tag{8}$$

To describe the partial order on the set $\text{Nil}(\mathfrak{g})$ of nilpotent orbits in $\mathfrak{g}$, let us introduce the notion of a "*simple crumbling*" of a partition $(n_1, ..., n_p)$ as the transition to a partition of the form

$$(n_1, ...n_{i-1}, n_i + 1, n_{i+1}, ..., n_{j-1}, n_j - 1, n_{j+1}, ..., n_p),$$

provided $n_{i-1} > n_i$ and $n_j > n_{j+1}$. For example, on Fig. 1 the simple crumbling of the partition $(8,6,6,3,2)$ to the partition $(8,7,6,2,2)$ is shown.
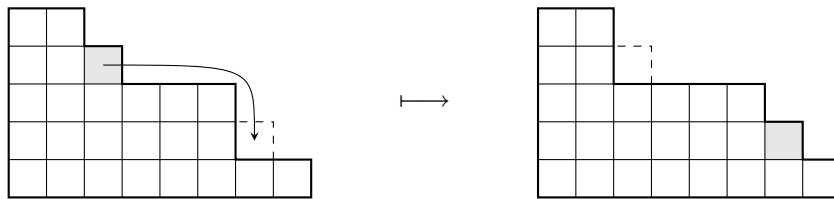
Fig.1

Let $\text{Ad}(G)e$ and $\text{Ad}(G)e'$ be two nilpotent orbits in $\mathfrak{g}$ corresponding to partitions $(n_1, ..., n_p)$ and $(n'_1, ..., n'_{p'})$. Then $\text{Ad}(G)e$ lies in the closure of $\text{Ad}(G)e'$ if and only if the partition $(n'_1, ..., n'_{p'})$ can be obtained from $(n_1, ...n_p)$ by consecutive simple crumblings (without assuming that all the intermediate partitions should be admissible) (see [2]).

4.5. As was explained in Section 2, for our purposes it suffices to consider only nilpotent elements of principal type. Let us describe such elements in terms of partitions (cf. [7]).

First of all, in all the classical simple Lie algebras, but $\mathfrak{so}_N$ with N even, a principal nilpotent element is defined by the trivial partition (N). In $\mathfrak{so}_N$ with N even, it is defined by the partition $(N - 1, 1)$.

Let $e \in \mathfrak{sl}_N$ be a nilpotent element defined by a partition $(n_1, ..., n_p)$. Then e is conjugate to a principal nilpotent element of the Levi subalgebra consisting of the matrices

$$A = \text{diag}(A_1, ..., A_p) \ (A_1 \in \mathfrak{gl}_{n_1}, ..., A_p \in \mathfrak{gl}_{n_p})$$

with tr $A = 0$. Thus, all nilpotent elements in $\mathfrak{gl}_N$ are of principal type.

In $\mathfrak{g} = \mathfrak{sp}_N$ or $\mathfrak{so}_N$, any Levi subalgebra $\mathfrak{l}$ consists of the matrices of the form

$$A = \mathrm{diag}(A_1, ..., A_s, A_0, -A'_s, ..., -A'_1)$$
$$(A_1 \in \mathfrak{gl}_{n_1}, ..., A_s \in \mathfrak{gl}_{n_s}, \ A_0 \in \mathfrak{sp}_{n_0} \text{ or } \mathfrak{so}_{n_0}, \text{ resp.}; \ (2(n_1 + ... + n_s) + n_0 = N),$$

where $'$ denotes the transposition with respect to the second diagonal. Thus, $\mathfrak{l}$ is isomorphic to $\mathfrak{gl}_{n_1} + ... + \mathfrak{gl}_{n_s} + \mathfrak{sp}_{n_0}$ or $\mathfrak{gl}_{n_1} + ... + \mathfrak{gl}_{n_s} + \mathfrak{so}_{n_0}$, resp. This implies the following characterization of nilpotent elements of principal type in terms of the corresponding partition $(n_1, ..., n_p)$:

1) for $\mathfrak{g} = \mathfrak{sp}_N$, the multiplicities of all parts of the partition, except for at most one even part, should be even;

2) for $\mathfrak{g} = \mathfrak{so}_N$, N odd, the multiplicities of all parts of the partition, except for at most one odd part, should be even;

3) for $\mathfrak{so}_N$, N even, either all the multiplicities are even, or the multiplicities of 1 and some other odd part are odd, while all the other multiplicities are even.

We shall refer to such partitions as to (admissible) *partitions of principal type*.

It follows from this description and the table of the Coxeter numbers of simple Lie algebras (see the introduction) that, for $\mathfrak{g} = \mathfrak{sl}_N$ or $\mathfrak{sp}_N$, the Coxeter number of a nilpotent element of principal type corresponding to the partition $(n_1, ..., n_p)$, is equal to n_1 (the maximum of the parts of the partition). For $\mathfrak{g} = \mathfrak{so}_N$, it is equal to n_1 or $n_1 - 1$, the latter taking place iff n_1 is odd and $n_1 > n_2$.

4.6. Case $\mathfrak{g} = \mathfrak{sl}_N$. In this case, $\mathrm{Nil}_m(\mathfrak{g})$ consists of the nilpotent orbits defined by the partitions all whose parts do not exceed m. Any such partition crumbles to the partition $(m, ..., m, r)$ with $0 \leqslant r \leqslant m - 1$, which is thereby the only maximal element of $\mathrm{Nil}_m(\mathfrak{g})$. Let $\mathrm{Ad}(G)e$ be the corresponding nilpotent orbit. Then, by (6) and Proposition 3.1.1),

$$\dim Z(e) = r(q+1)^2 + (m-r)q^2 - 1 = d(m).$$

This proves Theorems 2 and 3 for $\mathfrak{sl}_N$ (cf. [7]).

4.7. Case $\mathfrak{g} = \mathfrak{sp}_N$. In this case, the nilpotent orbits of principal type in $\mathrm{Nil}_m(\mathfrak{g})$ are defined by the partitions of principal type all whose parts do not exceed m. Any such partition crumbles to one of the following partitions of the same class:

1) $(\underbrace{m, ..., m}_{\text{even}}, r)$ with $0 \leqslant r \leqslant m$;

2) $(\underbrace{m, ..., m}_{\text{even}}, s, s)$ with $\frac{m}{2} + 1 \leqslant s \leqslant m - 1$;

3) $(\underbrace{m, ..., m}_{\text{even}}, m-1, s, s)$ with m odd, $1 \leqslant s \leqslant \frac{m-3}{2}$;

4) $(\underbrace{m, ..., m}_{\text{odd}}, s, s)$ with m even, $1 \leqslant s \leqslant m-1$.

Applying (7) and Proposition 3.1.2) to partitions 1)-4), we obtain Theorems 2 and 3 for $\mathfrak{sp}_N$. The calculations can be simplified if one notes that the difference $\dim Z(e) - d(m)$ does not change when deleting $2k$ parts equal to m from the partition (and diminishing N by $2km$). In case 1) this reduces the consideration to the partition (r), which corresponds to the principal nilpotent orbit in $\mathfrak{sp}_r$; hence, in this case the pair (m, e) is always exceptional. In case 2) it suffices to consider the partition (s, s), where we obtain

$$2(\dim Z(e) - d(m))$$
$$= \left[4s + \begin{cases} 0, & \text{if } s \text{ is even,} \\ 2, & \text{if } s \text{ is odd} \end{cases} \right] - \left[(6s - 2m) + \begin{cases} 0, & \text{if } m \text{ is even,} \\ 2, & \text{if } m \text{ is odd} \end{cases} \right]$$
$$= 2(m-s) + \begin{cases} 0, & \text{if } s \text{ is even,} \\ 2, & \text{if } s \text{ is odd} \end{cases} - \begin{cases} 0, & \text{if } m \text{ is even,} \\ 2, & \text{if } m \text{ is odd} \end{cases} \geqslant 0,$$

the equality taking place iff m is odd and $s = m - 1$. The cases 3) and 4) are treated similarly.

4.8. Case $\mathfrak{g} = \mathfrak{so}_N$, N odd. In this case, the nilpotent orbits of principal type in $\mathrm{Nil}_m(\mathfrak{g})$ are defined by the partitions of principal type all whose parts do not exceed m or, if m is even, by the partitions of principal type, whose maximal part is equal to $m + 1$ and occurs with multiplicity 1. Any such partition crumbles to one of the following partitions of the same class:

1) $(\underbrace{m, ..., m}_{\text{even}}, r)$ with $1 \leqslant r \leqslant m$;

2) $(\underbrace{m, ..., m}_{\text{odd}}, s, s)$ with m odd, $1 \leqslant s \leqslant \frac{m-1}{2}$;

3) $(\underbrace{m, ..., m}_{\text{even}}, s, s, 1)$ with m odd, $\frac{m+1}{2} \leqslant s \leqslant m-1$;

4) $(m+1, \underbrace{m, ..., m}_{\text{even}}, s, s)$ with m even, $0 \leqslant s \leqslant m-1$.

Applying (8) and Proposition 3.1.3) to partitions 1)-4), we obtain Theorems 2 and 3 for $\mathfrak{so}_N$, N odd. To simplify the calculations, one can note that in cases 1)-3) the difference $\dim Z(e) - d(m)$ does not change when deleting $2k$ parts equal to m from the partition, if m is odd, and decreases, if m is even. In case 1) this reduces the consideration to the partition (r), which corresponds to the principal nilpotent orbit in $\mathfrak{so}_r$; hence, in this case the pair (m, e) is always exceptional if m is odd, while if m is even, it is exceptional only in the trivial case when $N = r$ (and, hence, $m > h(e)$).

In case 4), a direct calculation shows that for $s < \frac{m}{2}$

$$2(\dim Z(e) - d(m)) = 2s - \begin{cases} 0, & \text{if } s \text{ is even,} \\ 2, & \text{if } s \text{ is odd} \end{cases} \geqslant 0,$$

the equality taking place iff $s = 0$ or 1. For $s \geqslant \frac{m}{2}$

$$2(\dim Z(e) - d(m)) = 2(m - s) - \begin{cases} 0, & \text{if } s \text{ is even,} \\ 2, & \text{if } s \text{ is odd} \end{cases} \geqslant 0,$$

the equality taking place iff $s = m - 1$.

4.9. Case $\mathfrak{g} = \mathfrak{so}_N$, N even. The partitions defining nilpotent orbits of principal type in $\mathrm{Nil}_m(\mathfrak{g})$ are described in the same way as for $\mathfrak{so}_N$, N odd. Any such partition crumbles to one of the following partitions of the same class:

1) $(\underbrace{m, ..., m}_{\text{even}}, r, 1)$ with $1 \leqslant r \leqslant m$;

2) $(\underbrace{m, ..., m}_{\text{even}}, s, s)$ with $\frac{m}{2} < s \leqslant m$;

3) $(\underbrace{m, ..., m}_{\text{odd}}, s, s, 1)$ with m odd, $1 \leqslant s \leqslant \frac{m-1}{2}$;

4) $(m + 1, \underbrace{m, ..., m}_{\text{even}}, s, s, 1)$ with m even, $1 \leqslant s \leqslant m$.

Applying (8) and Proposition 3.1.4) to partitions 1)-4), we obtain Theorems 2 and 3 for $\mathfrak{so}_N$, N even. The calculations are similar to those in the preceding case.

5. Classification: the exceptional Lie algebras

5.1. To classify exceptional pairs in the exceptional simple Lie algebras, we need a formula for computing the numbers $d(m)$. Let $\mathfrak{g}$ be a simple Lie algebra of rank n, and $\mathfrak{s}^{\mathrm{pr}}$ be a principal $\mathfrak{sl}_2$-subalgebra of $\mathfrak{g}$. It is well-known [8] that the adjoint representation of $\mathfrak{s}^{\mathrm{pr}}$ in $\mathfrak{g}$ decomposes into a sum of n irreducible representations of dimensions $2m_1 + 1, ..., 2m_n + 1$, where $m_1, ..., m_n$ are the exponents of $\mathfrak{g}$. Clearly, the eigenspace of $\mathrm{ad}(h^{\mathrm{pr}})$ corresponding to the eigenvalue $2k > 0$ is spanned by the positive root vectors e_α with $\mathrm{ht}(\alpha) = k$. It follows that

$$\#\{\alpha > 0 : \mathrm{ht}(\alpha) = k\} = \#\{i : m_i \geqslant k\},$$

Hence,

$$\#\{\alpha > 0 : m | \mathrm{ht}(\alpha)\} = \sum_{i=1}^{n} \left[\frac{m_i}{m}\right]$$

and (see the formula for $d(m)$ in Section 3)

$$d(m) = n + 2 \sum_{i=1}^{n} \left[\frac{m_i}{m}\right]$$

(cf. [10].)

Making use of the above formula, it is easy to compute the numbers $d(m)$ for all exceptional algebras. They are given in the tables on Fig. 2-6.

5.2. A classification of nilpotent elements e in the exceptional Lie algebras follows from the classification of $\mathfrak{sl}_2$-triples obtained in [3]. The corresponding Levi subalgebras $\mathfrak{l}(e)$ and, hence, the Coxeter numbers $h(e)$ also can be derived from the tables of that paper. The centralizers $\mathfrak{z}(e)$ were determined in [4]. The inclusion relation for the closures of nilpotent orbits was described in [11] (see also [9]).

Having all this information, it is easy to find the nilpotent orbits that do not lie in the closure of another nilpotent orbit with the same Coxeter number. The Hasse diagrams for the sets of such orbits are depicted on Fig 2-6, where each involved orbit $\mathrm{Ad}(G)e$ is given by the type of $\mathfrak{l}(e)'$, and the dimension of $\mathfrak{z}(e)$ is indicated in parentheses. For each m, the set of orbits with Coxeter number m is situated in the corresponding stripe between dotted lines.

$\mathbf{G}_2$

m	2	3	4	5
$d(m)$	6	4	4	4

$m\ (d(m))$

Fig. 2

$\mathbf{F}_4$

m	2	3	4	5	6	7	8	9	10	11	12
$d(m)$	24	16	12	12	8	8	6	6	6	6	4

$m\ (d(m))$

12 (4) $\boxed{F_4}$ (4)

6 (8) B_3 (10) C_3 (10)

4 (12) B_2 (16)

3 (16) $\boxed{\widetilde{A}_2 + A_1}$ (16)

2 (24) $\boxed{A_1 + \widetilde{A_1}}$ (24)

1 (52) $\boxed{0}$ (52)

Fig. 3

$\mathbf{E}_6$

m	2	3	4	5	6	7	8	9	10	11	12
$d(m)$	38	24	20	16	12	12	10	8	8	8	6

$m\ (d(m))$

12 (6) $\boxed{E_6}$ (6)

8 (10) $\boxed{D_5}$ (10)

6 (12) D_4 (18)

5 (16) $\boxed{A_4 + A_1}$ (16)

4 (20) $A_3 + A_1$ (22)

3 (24) $\boxed{2A_2 + A_1}$ (24)

2 (38) $\boxed{3A_1}$ (38)

1 (78) $\boxed{0}$ (78)
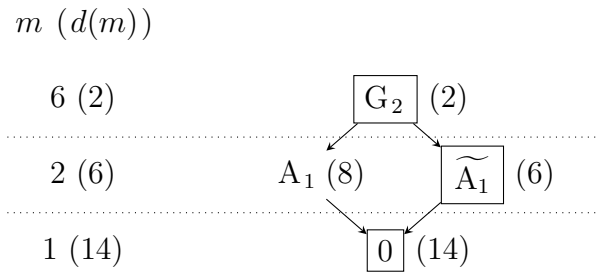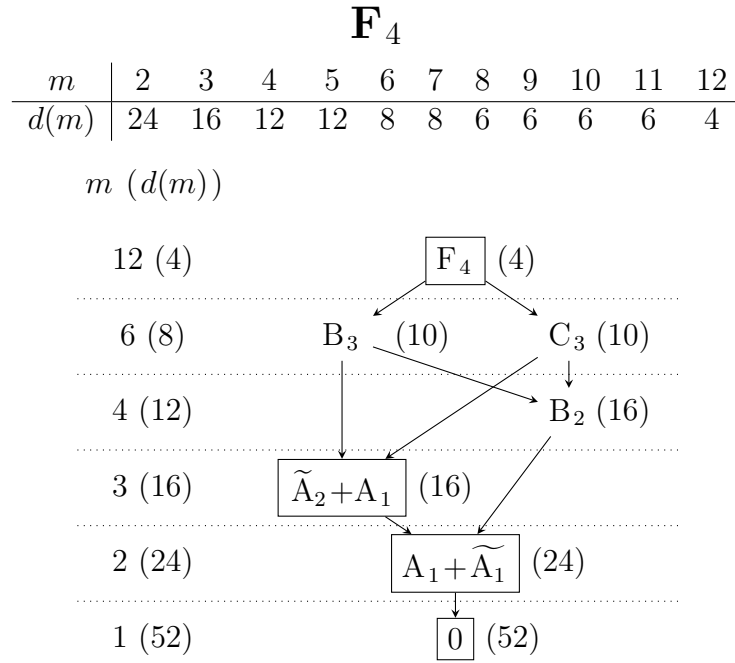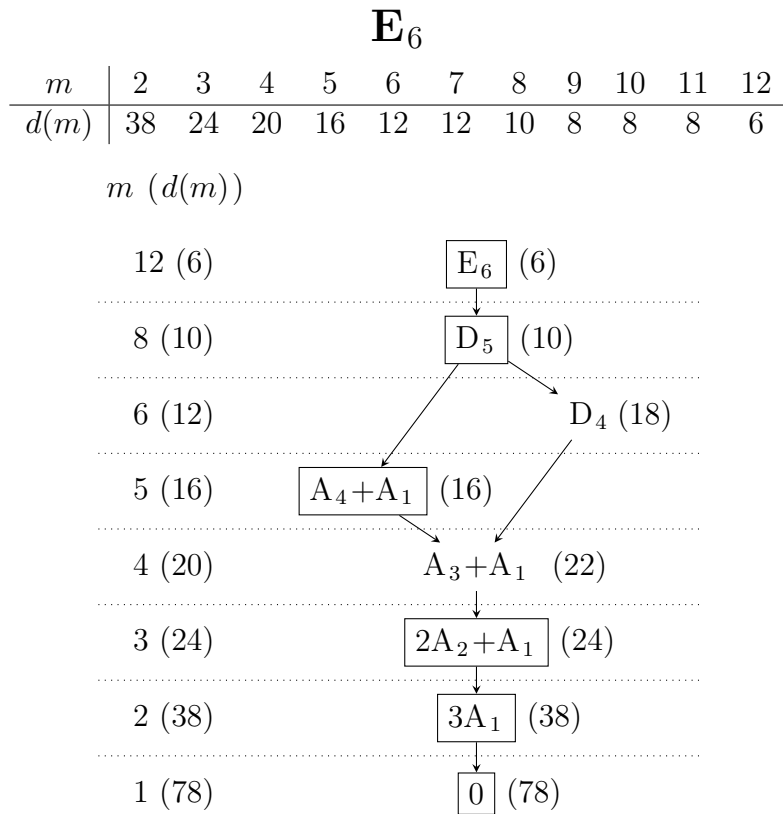
Fig. 4

$\mathbf{E}_7$

m	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$d(m)$	63	43	33	27	21	19	17	15	13	13	11	11	9	9	9	9	7

$m\ (d(m))$

18 (7) $\boxed{\text{E}_7}\ (7)$

12 (11) $\text{E}_6\ (13)$

10 (13) $\text{D}_6\ (15)$

8 (17) $\text{D}_5 + A_1\ (19)$

7 (19) $\boxed{\text{A}_6}\ (19)$

6 (21) $\text{A}_5'\ (25)$ $[\text{A}_5 + \text{A}_1]''\ (25)$ $\text{D}_4 + \text{A}_1\ (31)$

5 (27) $\boxed{\text{A}_4 + \text{A}_2}\ (27)$

4 (33) $\boxed{\text{A}_3 + \text{A}_2 + \text{A}_1}\ (33)$

3 (43) $\boxed{2\text{A}_2 + \text{A}_1}\ (43)$

2 (63) $\boxed{4\text{A}_1}\ (63)$

1 (133) $\boxed{0}\ (133)$
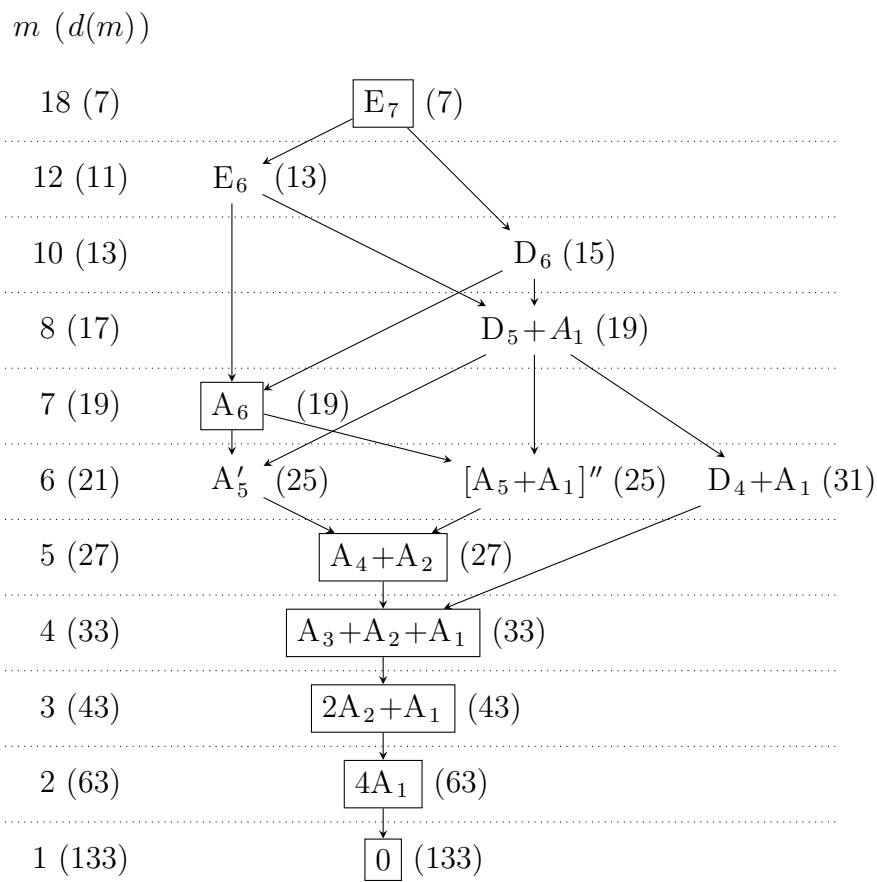
Fig. 5

$\mathbf{E}_8$

m	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$d(m)$	120	80	60	48	40	36	30	28	24	24	20	20	18	16

m	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30
$d(m)$	16	16	14	14	12	12	12	12	10	10	10	10	10	10	8

$m\ (d(m))$

30 (8) $\boxed{\mathrm{E}_8}$ (8)

- -

18 (14) E_7 (16)

- -

12 (20) $\mathrm{E}_6{+}\mathrm{A}_1$ (26) D_7 (22)

- -

10 (24) $\mathrm{D}_6{+}\mathrm{A}_1$ (28)

- -

8 (30) $\boxed{\mathrm{A}_7}$ (30)

- -

7 (36) $\boxed{\mathrm{A}_6{+}\mathrm{A}_1}$ (36)

- -

6 (40) $\mathrm{A}_5{+}\mathrm{A}_2$ (42)

- -

5 (48) $\boxed{\mathrm{A}_4{+}\mathrm{A}_3}$ (48)

- -

4 (60) $\boxed{\mathrm{A}_3{+}\mathrm{A}_3}$ (60)

- -

3 (80) $\boxed{2\mathrm{A}_2{+}2\mathrm{A}_1}$ (80)

- -

2 (120) $\boxed{4\mathrm{A}_1}$ (120)

- -
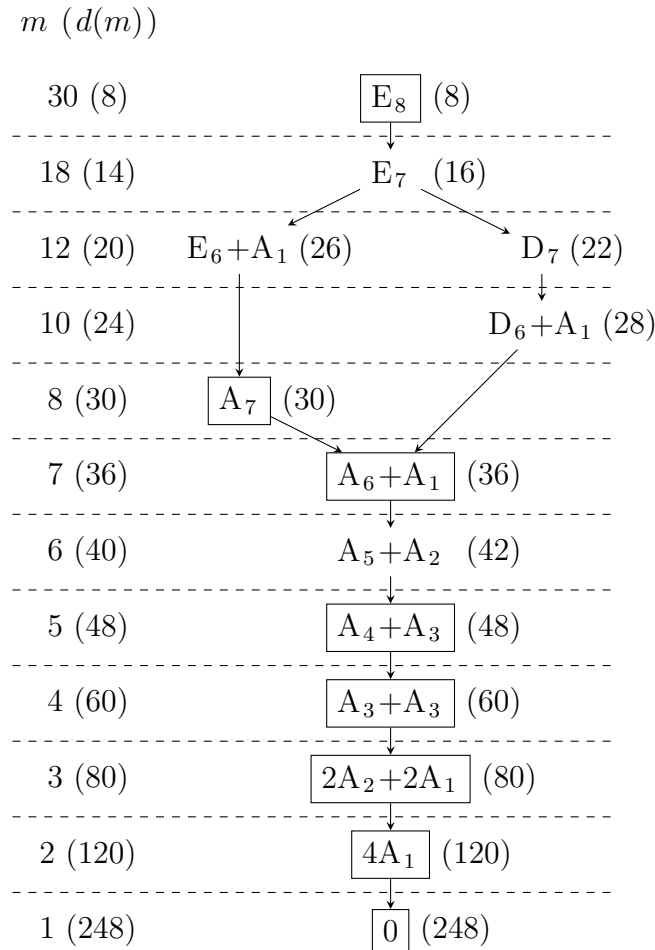
1 (248) $\boxed{0}$ (248)

Fig. 6

Theorems 2 and 3 for the exceptional Lie algebras are immediately obtained by observing these diagrams. The nilpotent orbits that turn out to be exceptional are framed there.

References

[1] Alekseevski, A. V., *Component groups of the centralizers of unipotent elements in semisimple algebraic groups*, Trudy Tbilis. Mat. Inst. Razmadze, **62** (1979), 5–27 (in Russian). English translation: Lie Groups and Invariant Theory. E. Vinberg, Ed., Providence, RI. Amer. Math. Soc. Translations Series 2, **213**. Advances in the Math. Sciences **56** (2005), 15–32.

[2] Collingwood, D., and W. M. McGovern, "Nilpotent orbits in semisimple Lie algebras," Van Norstand Reinhold, NY, 1993.

[3] Dynkin, E. B., *Semisimple subalgebras of semisimple Lie algebras*, Mat. Sb. **30** (1952), 349–462 (in Russian). English translation: Amer. Math. Soc Translations **6** (1957), 111–244.

[4] Elashvili, A., *The centralizers of nilpotent elements in semisimple Lie algebras*, Proc. Razmadze Math. Inst. **46** (1975), 109–132.

[5] Kac, V., *Infinite-dimensional algebras, Dedekind η-function, classical Möbius function and very strange formula*, Advances in Math. **30** (1978), 85–136.

[6] —, *Simple Lie groups and the Legendre symbol*, In: Lecture Notes in Math. **848**, Springer, Berlin, 1981, 110–123 (Proc. Conf., Southern Illinois Univ., Carbondale, Ill, 1980).

[7] Kac, V., and M. Wakimoto, *On rationality of W-algebras*, Transformation Groups **13** (2008), 671–713.

[8] Kostant, B., *The principal three dimensional subgroup and the Betti numbers of a complex simple Lie group*, Amer. J. Math. **81** (1959), 973–1032.

[9] McGovern, W. M., *The adjoint representation and the adjoint action. Algebraic quotients. Torus actions and cohomology. The adjoint representation and the adjoint action*, Berlin, Springer. Encycl. Math. Sci. **131**(2) (2002), 159–238.

[10] Serre, J.-P. *On a formula of Kac and a theorem of Burnside*, Lecture at the meeting on Algebraic Groups, Oberwolfach, April 1998.

[11] Spaltenstein, N., "Classes unipotentes et sous-groupes de Borel," Lecture Notes in Mathematics **946**, 1982. Springer-Verlag, Berlin-Heidelberg-New York, ix + 259 pp.

[12] Stanley, R. P., *Unimodal sequences arising from Lie algebras*, In: T. V. Narayana et al., Eds., "Combinatorics, representation theory and statistical methods in groups," Marcel Dekker, 1980, 127–136.

[13] University of Georgia VIGRE Algebra Group, *Varieties of nilpotent elements for simple Lie algebras I: good prime*, J. Algebra **280** (2004), 709–737.

A. G. Elashvili
Razmadze Mathematical Institute
M. Alexidze st. 1
Tbilisi 0193
Georgia
alela@rmi.acnet.ge

V. G. Kac
Massachusetts Institute of Technology
Department of Mathematics
77 Massachusetts Avenue
Cambridge, MA 02139-4307
USA
kac@math.mit.edu

E. B. Vinberg
Moscow State University
Department of Mathematics
Moscow, 119992, GSP-2
Russia
vinberg@zebra.ru